

Chapter 11

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Vehicle Routing with Time Windows using Genetic Algorithms

Abstract

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Abstract

In vehicle routing problems with time windows (VRPTW), a set of vehicles with limits on capacity and travel time are available to service a set of customers with demands and earliest and latest time for servicing. The objective is to minimize the cost of servicing the set of customers without being tardy or exceeding the capacity or travel time of the vehicles. As finding a feasible solution to the problem is NP-complete, search methods based upon heuristics are most promising for problems of practical size. In this chapter we describe GIDEON, a Genetic Algorithm for heuristically solving the VRPTW. GIDEON has a global customer clustering method and a local post-optimization method. The global customer clustering method uses an adaptive search strategy based upon population genetics, to assign vehicles to customers. The best solution, obtained from the clustering method is improved by a local post-optimization method. The synergy between a global adaptive clustering method and a local route optimization method produce results superior to those obtained by competing heuristic search methods. The results obtained by GIDEON on a standard set of 56 VRPTW problems obtained from the literature were as good as or better than solutions from known competing heuristics.

11.1 Introduction

The problem we address is the Vehicle Routing Problem with Time Windows (VRPTW). The VRPTW involves routing a fleet of vehicles, with limited capacities and travel times, from a central depot to a set of geographically dispersed customers with known demands within specified time windows. The time windows are two-sided, meaning that a customer must be serviced at or after its earliest time and before its latest time. If a vehicle reaches a customer before the earliest time it results in idle or waiting time. A vehicle that reaches a customer after the latest time is tardy. A service time is also associated with servicing each customer. The route cost of a vehicle is the total of the traveling time (proportional to the Euclidean distance), waiting time and service time taken to visit a set of customers.

The VRPTW arises in a wide array of practical decision making problems. Instances of the VRPTW occur in retail distribution, school bus routing, mail and newspaper delivery, municipal waste collection, fuel oil delivery, dial-a-ride service and airline and railway fleet routing and scheduling. Efficient routing and scheduling of vehicles can save government and industry millions of dollars a year. The current survey of vehicle routing methodologies are available in [2] [12][21]. Solomon and Desrosiers [28] provide an excellent survey on vehicle routing with time windows.

In this chapter we describe GIDEON, a Genetic Algorithm system to heuristically solve the VRPTW. GIDEON is a cluster-first route-second method that assigns customers to vehicles by a process we call Genetic Sectoring and improves on the routes using a local post-optimization method. The Genetic Sectoring method uses a genetic algorithm to adaptively search for sector rays that partition the customers into sectors or clusters served by each vehicle. It ensures that each vehicle route begins and ends at the depot and that every customer is serviced by one vehicle. The solutions obtained by the Genetic Sectoring method are not always feasible and are improved using a local post-optimization method that moves customers between clusters.

The chapter is arranged in the following form. Section 11.2 gives a mathematical formulation of the VRPTW. Section 11.3 gives a description of the GIDEON system. Section 11.4 describes the results of computational testing on a standard set of VRPTW problems obtained from the literature. Section 11.5 is the computational analysis of the solutions obtained from the GIDEON system and with respect to competing heuristics. Section 11.6 contains the summary and concluding remarks.

11.2 Mathematical Formulation for the VRPTW

The notation and expressions used in the model are useful in explaining the genetic search. We present a mixed-integer formulation of the vehicle routing problem with time window constraints. Our formulation is based upon the model defined by Solomon [30]. The following notations will help in the description of the GIDEON system. In the mixed-integer formulation the indices $i, j=1, \dots, N$ and $k=1, \dots, K$.

Parameters:

K = number of vehicles

N = number of customers (0 denotes the central depot)

T = maximum travel time permitted for a vehicle

C_i = customer i

C_0 = the central depot

V_k = vehicle route k

O_k = total overload for vehicle route k

T_k = total tardiness for vehicle route k

D_k = total distance for a vehicle route k

R_k = total route time for a vehicle route k

Q_k = total over-route time for a vehicle route k

t_{ij} = travel time between customer i and j (proportional to the Euclidean distance)

v_k = maximum capacity of vehicle k

t_i = arrival time at customer i

f_i = service time at customer i

w_i = waiting time before servicing customer i

e_i = earliest release time for customer i

l_i = latest delivery for customer i

q_{ik} = total demand of vehicle k until customer i

r_{ik} = travel time of vehicle k until customer i (including service time and waiting time)

p_i = polar coordinate angle of customer i

s_i = pseudo polar coordinate angle of customer i

F = fixed angle for Genetic Sectoring, $\text{Max}[p_1, \dots, p_n]/2K$, where $n = 1, \dots, N$

B = length of the bit string in a chromosome representing an offset, $B = 3$

P = population size of the Genetic Algorithm, $P = 50$

G = number of generations the Genetic Algorithm is simulated, $G = 1000$

E_k = offset of the k^{th} sector, i.e., decimal value of the k^{th} bit string of size B

I = a constant value used to increase the range of E_j

S_k = seed angle for sector k

S_0 = initial seed angle for Genetic Sectoring, $S_0 = 0$

α = weight factor for the distance

β = weight factor for the route time

η = penalty weight factor for an overloaded vehicle

γ = penalty weight factor for exceeding maximum route time in a vehicle route

κ = penalty weight factor for the total tardy time in a vehicle route

Variables:

$$y_{ik} = \begin{cases} 1, & \text{if } i \text{ is serviced by vehicle } k \\ 0, & \text{otherwise} \end{cases}$$

$$x_{ijk} = \begin{cases} 1, & \text{if the vehicle } k \text{ travels directly from } i \text{ to } j \\ 0, & \text{otherwise} \end{cases}$$

The mixed integer formulation for the vehicle routing problem is stated as follows:

$$(VRPTW) \text{ Min } \sum_{i=1}^N \sum_{j=1}^N \sum_{k=1}^K C_{ijk} x_{ijk} \quad (11.2.1)$$

where

$$C_{ijk} = t_{ij} + w_i + f_i$$

Subject to:

$$\sum_{i=0}^N q_{ik} y_{ik} \leq v_k, \quad k = 1, \dots, K \quad (11.2.2)$$

$$\sum_{i=0}^N \sum_{j=0}^N y_{ik} (t_{ij} + f_i + w_i) \leq v_k, \quad k = 1, \dots, K \quad (11.2.3)$$

$$y_{ik} = 0 \text{ or } 1; \quad i = 0, \dots, N; \quad k = 1, \dots, K \quad (11.2.4)$$

$$x_{ijk} = 0 \text{ or } 1; \quad i, j = 1, \dots, N; \quad k = 1, \dots, K \quad (11.2.5)$$

$$\sum_{k=1}^K y_{ik} = \begin{cases} K, & i = 0 \\ 1, & i = 1, \dots, N \end{cases} \quad (11.2.6)$$

$$\sum_{j=0}^N x_{ijk} = y_{jk}, \quad j = 0, \dots, N; \quad k = 1, \dots, N \quad (11.2.7)$$

$$\sum_{j=0}^N x_{ijk} = y_{ik}, \quad i = 1, \dots, N; \quad k = 1, \dots, N \quad (11.2.8)$$

$$t_j \geq t_i + s_i + t_{ij} - (1 - x_{ijk}) \cdot T, \quad i, j = 1, \dots, N, \quad k = 1, \dots, K \quad (11.2.9)$$

$$e_i \leq t_i < l_i, \quad i = 1, \dots, N \quad (11.2.10)$$

$$t_i \geq 0, \quad i = 1, \dots, N \quad (11.2.11)$$

The objective is to minimize the vehicle routing cost C_{ijk} (11.2.1) subject to vehicle capacity, travel time and arrival time feasibility constraints. A feasible solution for the VRPTW services all the customers without the vehicle exceeding the maximum capacity of the vehicle (11.2.2) or the travel time of the vehicle (11.2.3). In addition, each customer can be served by one and only one vehicle (11.2.6). Travel time for a vehicle is the sum total of the distance travelled by the vehicle including the waiting and service time. Waiting time is the amount of time that a vehicle has to wait if it arrives at a customer location before the earliest arrival time for that customer. The time feasibility constraints for the problem are defined in (11.2.9), (11.2.10) and (11.2.11). The constraint (11.2.9) ensures that the arrival times between two customers are compatible. The constraint (11.2.10) enforces the arrival time of a vehicle at a customer site to be within the customers earliest and latest arrival times and (11.2.11) ensures that the arrival time of the vehicle at a customer location is always positive.

The vehicle routing problem (VRP), without time windows, is NP-complete [3] [18]. Solomon [30] and Savelsbergh [25] indicate that the time constrained problem is fundamentally more difficult than a simple VRP even for a fixed fleet of vehicles. Savelsbergh [25] has shown that finding a feasible solution for a VRPTW using a fixed fleet size is NP-complete. Due to the intrinsic difficulty of the problem, search methods based upon heuristics are most promising for solving practical size problems [1] [9] [17] [23] [23] [25] [27] [29]. Heuristic methods often produce optimum or near optimum solutions for large problems in a reasonable amount of computer time. Therefore the development of heuristic algorithms that can obtain near optimal feasible solutions for large VRPTW are of primary interest.

The GIDEON system that we propose to solve the VRPTW is a cluster-first route-second heuristic algorithm that solves an approximation of the mathematical model described in (11.2.1). The algorithm has two phases consisting of a global search strategy to obtain clusters of customers and a local post-optimization method that improves the solution. The clustering of customers is done using a Genetic Algorithm (GA) and the post-optimization method moves and exchanges customers between routes to improve the solution. The two processes are run iteratively a finite number of times to improve the solution quality.

11.3 The GIDEON System

The global search strategy for clustering customers in GIDEON is done using a Genetic Algorithm(GA). GA's are a class of heuristic search algorithms based upon population genetics [6] [7] [16]. As they are inherently adaptive, genetic algorithms can converge to near optimal solutions in many applications. They have been used to solve a number of complex combinatorial problems [4] [5] [15] [19]. The GA is an iterative procedure that maintains a pool of candidates simulated over a number of generations. The population members are referred to as chromosomes. The chromosomes are fixed length strings with a finite number of binary values. Each chromosome has a fitness value assigned to it based upon a fitness function. The fitness value determines the relative ability of the chromosome to survive over the generations. Chromosomes with high fit values have a higher probability of surviving into the next generation compared to chromosomes with low fit values. At each generation, chromosomes are subjected to selection, crossover and mutation. Selection allows chromosomes with high fit values to survive into the next generation. Crossover splices chromosomes at random points and exchanges it with other spliced chromosomes. Mutation changes the bit value of a chromosome to its complementary value. Selection and crossover search a problem space exploiting information present in the chromosomes by selecting and recombining primarily those offspring that have high fitness values. These two processes eventually produce a population of chromosomes with high performance characteristics. The mutate operator is a secondary operator that prevents premature loss of information by randomly mutating bits in a chromosome. For a detailed description of this process refer to [11].

The local post-optimization method in GIDEON improves a solution by shifting or exchanging customers between routes if it results in reduction of the total

routing cost. The method shifts and exchanges customers between routes until no more improvements are found [22][36][37]. In the shift procedure, one customer is removed from a route and inserted into a different route. In the exchange procedure, one customer each from two different routes is removed and inserted into the other's route. In both shift exchange procedures, improved solutions are accepted if the insertion results in the reduction of the total cost for routing the vehicles. The shift and exchange heuristics have been implemented successfully in many combinatorial problems [20][22][32][36]. The local post-optimization method for the GIDEON system uses the shift and exchange of one and two customers between routes.

The search space used by GIDEON is a relaxation of the feasible region of the mathematical model proposed in (11.2.1). The mathematical model (11.2.1) is approximated by the GIDEON system by a relaxation of the capacity, route time and time window constraints in a Lagrangian Relaxation fashion. The cost function used by the GIDEON system drives the search for a good feasible solution by penalizing violation of capacity, route or time window constraints. The objective function used by the GIDEON system is stated as:

$$\overline{(\text{VRPTW})} \quad \text{Min} \sum_{i=1}^N \sum_{j=1}^N \sum_{k=1}^K c_{ijk} x_{ijk} \quad (11.2.12)$$

where

$$c_{ijk} = \alpha t_{ij} + \beta \cdot \left(t_i + f_i + t_{ij} \right) + \eta \cdot \max \left\{ 0, \left(q_{ik} - v_k \right) \right\} \\ + \kappa \cdot \max \left\{ 0, \left(r_{ik} - l_i \right) \right\} + \gamma \cdot \max \left\{ 0, \left(t_i + f_i + t_{ij} - T \right) \right\}$$

The cost function includes components weighted by coefficients α for distance, β for route time and penalty weighting factors, η for vehicle overload, γ for travel time in excess of the allocated route time for the vehicle and κ for tardiness. The GIDEON system explores for feasible solutions to the VRPTW with weights that drive the model towards feasibility in the VRPTW problem. The weights for GIDEON were derived empirically and set at $\alpha = 0.5$, $\beta = 0.05$, $\eta = 50$, $\kappa = 25$ and $\gamma = 50$. The weights are biased towards finding a feasible solution in comparison to reducing the total distance and route time. The main priority of the cost function (11.2.12) is to obtain a feasible solution. Therefore the coefficients of the cost function (11.2.12) gives higher priority to reducing tardiness and overloading vehicles, followed by vehicles that exceed the maximum allotted route time for a vehicle. If there is no violation of the capacity, time feasibility and route time constraints, then the coefficients of the cost function (11.2.12) are to reduce the total distance followed by the total route time. The weights for the coefficients of the cost function were chosen to first obtain a feasible solution and then minimize the total distance and route time. The cost function (11.2.12) was experimented with other weight values, values that gave higher weights to the cost coefficients α and β and lower weights to η , α and γ , but these resulted in infeasible or solutions of poor quality.

The GIDEON system uses the cluster-first route-second method to solve a VRPTW. That is, given a set of customers and a central depot, the system clusters the customers using the GA, and the customers within each sector are routed using the cheapest insertion method [13]. The clustering of customers using a GA is referred to as Genetic Sectoring. Genetic Sectoring has been successfully used to solve vehicle routing and scheduling problems with complex constraints [31][32][33][34][35]. The GIDEON system allows exploration and exploitation of the search space to find good feasible solutions with the exploration being done by the GA and the exploitation by the local post-optimization procedure.

The GENESIS [14] genetic algorithm software was used in the implementation of the GIDEON system. The chromosomes in GENESIS are represented as bit strings. The sectors (clusters) for the VRPTW are obtained from a chromosome by subdividing it into K divisions of size B bits. Each subdivision is used to compute the size of a sector. The fitness value for the chromosome is the cost function (2.12) for serving all the customers computed with respect to the sector divisions derived from it.

In an N customer problem with the origin at the depot, the GIDEON system replaces the customer angles p_1, \dots, p_N with pseudo polar coordinate angles s_1, \dots, s_N . The pseudo polar coordinate angles are obtained by normalizing the angles between the customers so that the angular difference between any two adjacent customers is equal. This allows sector boundaries to fall freely between any pair of customers that have adjacent angles, whether the separation is small or large. The customers are divided into K sectors, where K is the number of vehicles, by planting a set of "seed" angles, S_0, \dots, S_k , in the search space and drawing a ray from the origin to each seed angle. The initial number of vehicles, K , required to service the customers is obtained using Solomon's insertion heuristic [30]. The initial seed angle S_0 is assumed to be 0° . The first sector will lie between seed angles S_0 and S_1 the second sector will lie between seed angles S_1 and S_2 , and so on.

The Genetic Sectoring process assigns a customer, C_i , to a sector or vehicle route, V_k , based on the following equation:

C_i is assigned to V_k if $S_k < s_i \leq S_{k+1}$, where $k = 0, \dots, K-1$

Customer C_i is assigned to vehicle V_k if the pseudo polar coordinate angle s_i is greater than seed angle S_k but is less than or equal to seed angle S_{k+1} . Each seed angle is computed using a fixed angle and an offset from the fixed angle. The fixed angle, F , is the minimum angular value for a sector and assures that each sector gets represented in the Genetic Sectoring process. The fixed angle is computed by taking the maximum polar coordinate angle within the set of customers and dividing it by $2K$. The offset is the extra region from the fixed angle that allows the sector to encompass a larger or a smaller sector area. The GA is used to search for the set of offsets that will result in the minimization of the total cost of routing the vehicles. If a fixed angle and its offset exceeds 360° ,

then that seed angle is set to 360° thereby allowing the Genetic Sectoring process to consider vehicles less than K to service all its customers. Therefore K , the initial number of vehicles with which the GIDEON system is invoked, serves as the upper bound on the number of vehicles that can be used for servicing all the customers.

The bit size representation of an offset in a chromosome B was set at 3 bits. Bit size representations larger than 3 were experimented with and resulted in poor quality solutions. The decimal conversion of 3 bits results in a range of integer values between 0 and 7. The decimal values retrieved from the subset of a chromosome are multiplied by a constant I that increases the range of the offset. The value derived from the decimal conversion of the bit values times the constant value I are mapped proportionately to the offsets with the value 0 as a 0° offset and the bit value 10.5 and 10.5° as the maximum offset. Figure 11.1 describes the chromosome mapping used to obtain the offsets.

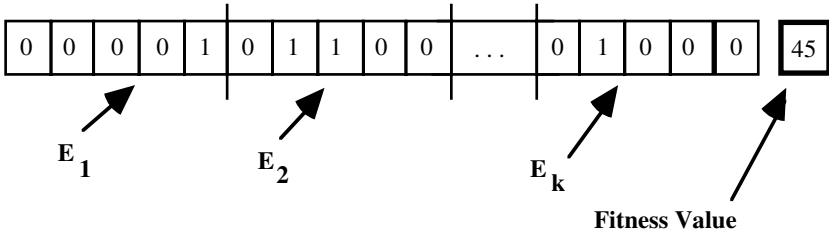


Figure 11.1 Representation of the offsets using a chromosome.

The seed angles are derived from the chromosome using the following equation:

$$S_i = S_{i-1} + F + (E_i \cdot I) \quad (11.2.13)$$

The fitness value of a chromosome is the total cost of routing K vehicles for servicing N customers using the sectors formed from the set of seed angles derived from the chromosome. The seed angles are derived using the fixed angle and the offsets from the chromosomes. The customers within the sectors, obtained from the chromosomes, are routed using the cheapest insertion method. The cheapest insertion method takes each unrouted customer in the sector and each edge $\{i, j\}$ in the current tour and computes the cost of inserting the unrouted customer between i and j . The unrouted customer that has the least insertion cost at edge $\{i, j\}$ is selected to be inserted between i and j . The cost of inserting customer C_i into route V_k using the cheapest insertion method is calculated using the insertion cost function:

$$\text{insertion cost of } C_i = \alpha D_k + \beta R_k + \eta O_k + \kappa T_k + \gamma Q_k \quad (11.2.14)$$

The insertion cost function (11.2.14) will accept infeasible solutions if the reduction in total distance is high enough to allow either a vehicle to be overloaded or be tardy. Overloading and tardiness in a vehicle route are penalized

in the insertion cost function (11.2.14). The weights for insertion cost (11.2.14) were set at $\alpha = 0.5$, $\beta = 0.05$, $\eta = 50$, $\kappa = 50$, and $\gamma = 25$.

In the GIDEON system each chromosome represents a set of offsets for a VRPTW. Therefore, a population of P chromosomes usually has P different solutions for a VRPTW. That is, there may be some chromosomes in the population that are not unique. At each generation, P chromosomes are evaluated for fitness. The chromosomes that have the least cost will have a high probability of surviving into the next generation through the selection process. As the crossover operator exchanges a randomly selected portion of the bit string between the chromosomes, partial information about sector divisions for the VRPTW is exchanged between the chromosomes. New information is generated within the chromosomes by the mutation operator.

The GIDEON system uses selection, crossover and mutation to adaptively explore the search space for the set of sectors that will minimize the total cost of the routes over the simulated generations for the VRPTW. The GIDEON system would utilize more computer time than traditional heuristic algorithms because every time the Genetic Sectoring process is invoked it has to evaluate $P \cdot G$ vehicle routes, where P is the population size and G is number of generations to be simulated. The worst case running time bounds for the Genetic Sectoring process is $O\left(\frac{N^2}{K}\right)$ as there is on the average $\frac{N}{K}$ customers for each route and $\frac{N}{K}$ edges have to be checked for each of the K routes.

The parameter values for the number of generations, population size, crossover and mutation rates for the Genetic Sectoring process were set at 1000, 50, 0.8 and 0.001. During the simulation of the generations, the GIDEON system keeps track of the set of sectors obtained from the genetic search that has the lowest total route cost. The genetic search terminates either when it reaches the number of generations to be simulated or if all the chromosomes have the same fitness value. The best set of sectors obtained after the termination of the genetic search does not always result in a feasible solution. The solution obtained from the GA is improved using the local post-optimization procedure that shifts and exchanges customers between the vehicle routes.

The post-optimization method is similar to the 2-opt method, as it deletes two arcs of a customer and inserts the customer into a location of a different route that has the lowest cost. The worst case running time bounds for the local post-optimization process is $O\left(\left(\frac{N}{K}\right)^2\right)$ as there is on the average $\frac{N}{K}$ customers for each route and on the average $\frac{N}{K}$ edges have to be checked.

The local post-optimization process is carried out until no more improvements can be made to the solution obtained from the GA. At the termination of the local post-optimization procedure, the customers are ranked in order of the sectors, and within the sectors in the sequence in which they are visited by the vehicles. The

customer angles, p_1, \dots, p_N , are replaced with pseudo polar coordinate angles s_1, \dots, s_N in order of the customer rank. The assignment of pseudo polar coordinate angles, using route and customer sequence, clusters together customers with geographical and temporal characteristics that can be serviced by a single vehicle.

The customers with the new pseudo polar coordinate angles are once again used to form new sectors using the GA. The best set of sectors obtained from the GA using the new customer polar coordinate angles is improved using the local post-optimization procedure. This iteration between Genetic Sectoring process and local post-optimization method is carried out a predetermined number of times and was set at 5. The flow of the GIDEON system is described in [Figure 11.2](#)

The Genetic Sectoring and local post-optimization procedures are symbiotic as the Genetic Sectoring is a meta-search strategy that forms the sectors and the local post-optimization method gives adjacency information about the customers back to the Genetic Sectoring process. These two methods derive information from each other in order to obtain a good feasible solution.

- Step 1: Set the number of cluster-route iterations: $itermax = 3$.
Set the current iteration number: $iter = 0$.
Set the bit string size for the offset: $Bsize = 5$.

- Step 2: Sort the customers in order of their polar coordinate angles, and assign pseudo polar coordinate angles to the customers.
Set the lowest global route cost to infinity: $g = \infty$.
Set the lowest local route cost to infinity: $l = \infty$.

- Step 3: Increment the number of iterations: $iter = iter + 1$.
If $iter > itermax$, go to Step 7.

- Step 4: If GA has terminated, go to Step 5.
For each chromosome in the population:
 For each bit string of size $BSize$,
 calculate the seed angle,
 sector the customers, and
 route the customers within the sectors using the cheapest insertion method.
 If the cost of the current set of sectors is lower than l
 set l to the current route cost, and
 save the set of sectors in lr .
 If the cost of the current set of sectors is lower than g ,
 set g to the current route cost, and
 save the set of sectors in gr .
Do Selection, Crossover and Mutation on the chromosomes.
Go to Step 4.

- Step 5: Do local post-optimization using the route lr .
 If no improvements can be made to route lr ,
 go to Step 6.
 If the current improved route has lower cost than l ,
 set l to the current cost, and
 save the set of sectors in lr .
 If the current improved route has lower cost than g ,
 set g to the current cost, and
 save the set of sectors in gr .
 Go to step 5.
- Step 6: Rank the customers of route lr in order of the sectors, and within the
 sectors in order of the sequence in which they are visited.
 Sort the customers by the rank.
 Assign pseudo polar coords to the customers in order of sorted rank.
 Go to Step 3.
- Step 7: Stop the Genetic Sectoring Heuristic with a local post-optimization
 solution.

Figure 11.2 Flow of the GIDEON system.

11.4 Computational Results

GIDEON was run on a set of 56 VRPTW problems in six data sets denoted R1, C1, RC1, R2, C2, and RC2, developed by Solomon [30]. Solomon generated vehicle routing problems with two time windows using the standard set of vehicle routing test problems from Christofides et al. [3]. The vehicle routing problems with two time windows were generated by assigning earliest and latest time windows to each of the customers in addition to the service time required by each of the customers. In terms of time window density (the percentage of customers with time windows), the problems have 25%, 50%, 75%, and 100% time window density. Each of the problems in these data sets has 100 customers. The fleet size to service them varied between 2 and 21 vehicles.

For the R1 data set, without time window constraints, a fleet of 10 vehicles, each with a capacity of 200 units, was required to attain a feasible solution. Each of the customers in the R1 data set required 10 units of service time and a maximum route time of 230 units. In the C1 data set, each customer required 90 units of service time and the vehicles had a capacity of 200 units and a maximum route time of 1236 units. The optimal solution for this problem class requires 10 vehicles and has a distance of 827 units [9]. The RC1 data set was created using data sets, R1 and C1. The vehicle capacity for this problem was set at 200 units with a maximum route time of 240 units. Each of the customers in this problem required 10 units of service time.

The R2 data set was a modification of the R1 data set to allow for servicing of many customers by one vehicle. The maximum route time of the vehicles was set at 1000 units and each vehicle had a capacity of 1000 units. Two vehicles are enough to satisfy the customer demands if no time windows are present. In the

C2 data set, customers from the C1 data set were relocated to create a structured problem with three large clusters of customers. The vehicles for this data set had a maximum route time of 3390 units and a capacity of 700 units with each customer requiring 90 units of service time. For the RC2 data set, the customer demands and service times are the same as for RC1. The vehicles for this data set have a maximum route time of 960 units and a capacity of 1000 units. Without time windows, a fleet of two vehicles was enough to satisfy the demands.

The data sets, R1, C1, and RC1, had short horizons while the data sets, R2, C2, and RC2, had long horizon. Short horizon problems have vehicles that have small capacities and short route times and cannot service many customers at one time. Long horizon problems use vehicles that have large capacities and long travel times, and are able to service many customers with fewer vehicles. The VRPTW problems generated by Solomon incorporate many distinguishing features of vehicle routing with two-sided time windows. The problems vary in fleet size, vehicle capacity, travel time of vehicles, spatial and temporal distribution of customers, time window density (the number of demands with time windows), time window width, percentage of time constrained customers and customer service times.

Solutions to each of the 56 VRPTW were obtained by Solomon [30] and Thompson [37]. Solomon tested a number of algorithms and heuristics and reported that the overall best performances were obtained using a sequential insertion procedure that used a weighted combination of time and distance in its cost function. The best solutions using the heuristic insertion procedure were obtained using eight different combinations of parameters and three different initialization criteria. Thompson's solutions use local post-optimization methods, based on cyclical transfers, to obtain feasible solutions. The solutions reported are the best of eight different combinations of parameters and two different initialization criteria. For comparison purposes the heuristic used to obtain the best solution by Solomon will be referred to as Heuristic 1 and by Thompson as Heuristic 2.

Koskosidis et al. [17] used a "soft" time approach based on the Generalized Assignment Heuristic for solving the VRPTW. This approach allowed time windows to be violated at a cost which results in a final solution that could be infeasible. This method was used to solve only some of Solomon's time window problems and name some problems from the R1 and RC1 data set and all of the problems in data set C1.

Potvin et. al. [23] used a tabu search heuristic to solve the VRPTW. The tabu search heuristic uses a specialized exchange heuristic to minimize the number of routes followed by the distance. The results of the average number of vehicles, distance, waiting time and computation time for each of the data sets are reported.

In GIDEON the solution quality is based on minimizing the number of routes followed by the distance and route time. That is, a solution with M number of routes is better than $M+1$ routes, even if the distance and route time for the M routes is greater than $M+1$ routes. In VRPTW it is possible to get distance and route time for $M+1$ routes, that is less than the distance and route time for $M+1$

routes. The GIDEON system was used to solve the 56 VRPTW problems using two types of initial placement of customers. The first method initially sorted the customers by the polar coordinate angles before assigning the customers the pseudo polar coordinate angles. The second method assigned pseudo polar coordinate angles to the customers randomly. The solutions obtained by GIDEON using the two methods are tabulated in Tables 11.1 and 11.2. The best of the solutions obtained from these two methods were compared against the best solutions obtained Solomon's and Thompson's heuristics.

Problem Number	Number of Vehicles	Sorted Data			Unsorted Data		
		Total Distance	CPU	Best	Total Distance	CPU	Best
R101	20	1700	88.3	√	1708	109.4	
R102	17	1549	100.5	√	1578	102.2	
R103	13	1319	102.9	√	1432	115.6	
R104	10	1090	50.4	√	1210	135.2	
R105	15	1448	95.9	√	1494	121.2	
R106	13	1363	105.3	√	1439	127.7	
R107	11	1187	103.5	√	1219	129.1	
R108	10	1048	91.0	√	1158	127.5	
R109	12	1345	96.5		1328	127.7	√
R110	11	1234	103.1	√	1248	115.7	
R111	11	1238	109.5	√	1288	124.2	
R112	10	1082	121.9	√	1183	123.3	
C101	10	893*	93.7		833	87.2	√
C102	10	879	92.3		832	88.7	√
C103	10	873	89.5	√	894	86.9	
C104	10	904	95.3	√	1150	90.8	
C105	10	922	93.5		874	91.8	√
C106	10	902	91.2	√	998	95.1	
C107	10	926	93.1	√	993	90.1	
C108	10	978	93.5		928	89.9	√
C109	10	957	87.8	√	970	92.4	
RC101	15	1767	104.7	√	1786	126.3	
RC102	14	1569	105.5	√	1627	115.4	
RC103	11	1408	120.2		1328	116.5	√
RC104	11	1263	108.4	√	1271	150.3	
RC105	14	1612	111.6	√	1638	141.6	
RC106	12	1608	109.2	√	1657*	102.9	
RC107	12	1396	112.8		1389	108.5	√
RC108	11	1250	115.9	√	1337	107.9	

Legend:

- Sorted data: Customers sorted by polar coordinate angles before being assigned pseudo polar coordinate angles.
- Unsorted data: Customers assigned pseudo polar coordinate angles without being sorted.
- CPU: CPU time taken to obtain a solution on the SOLBOURNE 5/802
- Best: Best of two solutions
- *: Infeasible solution

Table 11.1 Comparison of solutions obtained by GIDEON on sorted and unsorted customers for data sets R1, C1 and RC1.

The comparison between the solutions obtained by GIDEON and other heuristic algorithms were done in the following form. As Solomon [30] and Thompson [37] report the results for each of the problems in the literature, the solutions obtained by GIDEON were compared with each of their reported solutions. In addition the average number of vehicles and distance obtained by the GIDEON system are compared against the solutions obtained by Potvin's Tabu search heuristic [23]. The best solutions obtained by GIDEON did better than both Heuristic 1 and Heuristic 2 on 41 of the 56 problems as indicated in [Tables 11.3](#) and [11.4](#) in bold. In comparison to the best solutions obtained by Heuristic 1 and Heuristic 2, the solutions obtained by GIDEON resulted in an average reduction of 3.9% in fleet size and 4.4% in distance traveled by the vehicles.

Problem Number	Number of Vehicles	Sorted Data			Unsorted Data		
		Total Distance	CPU	Best	Total Distance	CPU	Best
R201	4	1478	127.7	√	1605	165.6	
R202	4	1279	128.7	√	1329	249.4	
R203	3	1273	220.9		1167	251.3	√
R204	3	909	137.5	√	1007	215.9	
R205	3	1274	128.4	√	1286	226.2	
R206	3	1186	135.1		1098	315.4	√
R207	3	1059	119.9		1015	183.9	√
R208	3	826	119.1	√	900	214.3	
R209	3	1159	140.6	√	1165	203.6	
R210	3	1269	215.3	√	1275	272.4	
R211	3	1005	154.8		898	267.7	√
C201	3	753	123.1	√	947*	116.1	
C202	3	782	153.0		756	124.0	√
C203	3	855	162.2	√	1301*	119.6	
C204	3	831	109.0		803	140.1	√
C205	3	848	115.1		667	119.0	√
C206	3	915	116.2		694	139.3	√
C207	3	866	113.3		730	156.2	√
C208	3	853	135.1		735	174.4	√
RC201	4	1823	135.9	√	1979	149.2	
RC202	4	1478	148.4		1979	155.3	√
RC203	4	1323	156.0	√	1459	272.9	
RC204	4	1089	116.6		1402	192.9	√
RC205	4	1686	103.4		1021	183.3	√
RC206	4	1545	128.1		1594	180.4	√
RC207	4	1501	156.1	√	1530	132.6	
RC208	4	1038	115.7	√	1514	141.9	

Legend:1115

Sorted data: Customers sorted by polar coordinate angles before being assigned pseudo polar coordinate angles.

Unsorted data: Customers assigned pseudo polar coordinate angles without being sorted.

CPU: CPU time taken to obtain a solution on the SOLBOURNE 5/802

Best: Best of two solutions

*: Infeasible solution

Table 11.2 Solutions obtained by GIDEON on sorted and unsorted customers for data sets R2, C2 and RC2.

Problem Number	Heuristic 1			Heuristic 2			GIDEON		
	Number of Vehicles	Total Distance	CPU ¹	Number of Vehicles	Total Distance	CPU ²	Number of Vehicles	Total Distance	CPU ³
R101	21	1873	21.8	19	1734	1394	20	1700	88.2
R102	19	1843	22.9	17	1881	3209	17	1549	100.5
R103	14	1484	24.5	15	1530	3337	13	1319	102.9
R104	11	1188	27.3	10	1101	2327	10	1090	50.4
R105	15	1673	22.0	15	1535	2359	15	1448	95.9
R106	14	1475	23.5	13	1392	1575	13	1363	105.2
R107	12	1425	25.0	11	1250	3261	11	1187	103.4
R108	10	1137	28.0	10	1035	1575	10	1048	91.0
R109	13	1412	23.4	12	1249	2236	12	1345	96.5
R110	12	1393	25.0	12	1258	1514	11	1234	103.1
R111	12	1231	25.0	12	1215	3046	11	1238	109.4
R112	10	1106	28.2	10	1103	2168	10	1082	121.9
C101	10	853	22.4	10	829	464	10	833	87.5
C102	10	968	23.7	10	934	1360	10	832	88.7
C103	10	1059	26.7	10	956	2404	10	873	81.6
C104	10	1282	30.7	10	1150	3602	10	904	95.3
C105	10	861	22.8	10	829	449	10	874	91.8
C106	10	897	23.2	10	868	716	10	902	91.2
C107	10	904	24.1	10	926	757	10	926	93.1
C108	10	855	25.2	10	866	987	10	928	89.9
C109	10	888	28.8	10	912	1277	10	957	87.8
RC101	16	1867	21.9	16	1851	2282	15	1767	104.7
RC102	15	1760	22.8	14	1644	2957	14	1569	105.5
RC103	13	1673	24.1	12	1465	3661	11	1328	116.5
RC104	11	1301	26.1	11	1265	2438	11	1263	108.4
RC105	16	1922	23.0	15	1809	2417	14	1612	111.6
RC106	13	1611	22.7	-	-	-	12	1608	109.2
RC107	13	1385	24.2	12	1338	2295	12	1396	122.8
RC108	11	1253	25.6	11	1228	2297	11	1250	115.9

Legend:

Heuristic 1: Best solution from Solomon's Heuristic [28].

Heuristic 2: Best solution from Thompson's Heuristic [30].

CPU¹: CPU time in seconds to obtain a solution on a DEC-10.

CPU²: CPU time in seconds to obtain a solution on an IBM PC-XT.

CPU³: CPU time in seconds to obtain a solution on a SOLBOURNE 5/802.

Table 11.3: Solutions for data Sets R1, C1 and RC1 using the three different heuristics.

Problem Number	Heuristic 1			Heuristic 2			GIDEON		
	Number of Vehicles	Total Distance	CPU ¹	Number of Vehicles	Total Distance	CPU ²	Number of Vehicles	Total Distance	CPU ³
R201	4	1741	32.9	4	1786	3603	4	1478	127.7
R202	4	1730	42.2	4	1736	2514	4	1279	128.7
R203	3	1578	60.1	3	1309	12225	3	1167	251.3
R204	3	1059	90.6	3	1025	22834	3	909	137.5
R205	3	1471	42.9	3	1392	3039	3	1274	128.4
R206	3	1463	53.3	3	1254	2598	3	1098	315.4
R207	3	1302	71.9	3	1072	2598	3	1015	183.9
R208	3	1076	108.6	3	862	12992	3	826	119.1
R209	3	1449	52.5	3	1260	7069	3	1159	140.6
R210	4	1542	51.2	3	1269	11652	3	1269	215.3
R211	3	1016	82.7	3	1071	9464	3	898	267.7
C201	3	591	31.2	3	590	240	3	753	123.1
C202	3	731	39.7	3	664	1644	3	756	124.0
C203	3	811	48.0	3	653	2757	3	855	162.2
C204	4	758	61.0	3	684	2211	3	803	140.0
C205	3	615	36.0	3	628	1723	3	667	119.0
C206	3	730	40.3	3	641	1429	3	694	139.0
C207	3	691	41.4	3	627	722	3	730	156.0
C208	3	615	46.6	3	670	1103	3	735	174.0
RC201	4	2103	31.1	4	1959	1140	4	1823	135.9
RC202	4	1799	39.1	4	1858	4164	4	1459	155.3
RC203	4	1626	53.7	4	1521	6109	3	1323	156.0
RC204	3	1208	85.5	3	1143	5015	3	1021	192.9
RC205	5	2134	36.5	4	1988	5906	4	1594	183.3
RC206	4	1582	39.9	3	1515	4833	3	1530	180.3
RC207	4	1632	30.3	4	1457	13340	3	1501	156.1
RC208	3	1373	77.6	-	-	-	3	1038	115.7

Legend:

Heuristic 1: Best solution from Solomon's Heuristic [28].

Heuristic 2: Best solution from Thompson's Heuristic [30].

CPU¹: CPU time in seconds to obtain a solution on a DEC-10.

CPU²: CPU time in seconds to obtain a solution on an IBM PC-XT.

CPU³: CPU time in seconds to obtain a solution on a SOLBOURNE 5/802.

Table 11.4: Solutions for data sets R2, C2 and RC2 using the three different heuristics.

Table 11.5 is a summary of the average improvement in vehicle fleet size and distance obtained by GIDEON with respect to Heuristic 1 and Heuristic 2 for the six different data sets. The GIDEON system was written in C language and the experiments were conducted on a SOLBOURNE 5/802 system. The solution to the VRPTW using the GIDEON system required an average of 127 CPU seconds to be solved on a SOLBOURNE 5/802 computer. The SOLBOURNE 5/802 computer is about 10 times faster than a personal computer. On the average, the Genetic Sectoring process took about 27 seconds to form the sectors and the local post-optimization process took 100 seconds to improve the solution.

Problem group	Heuristic 1		Heuristic 2	
	Average% difference in number of Vehicles	Average% difference in Total Distance	Average% difference in number of Vehicles	Average% difference in Total Distance
R1	6.1	9.5	1.9	4.2
C1	0.0	6.2	0.0	2.7
RC1	7.4	7.6	3.9	2.7
R2	4.5	19.8	-2.9	11.7
C2	4.5	-8.1	0.0	-27.4
RC2	12.9	16.1	8.0	14.2

Legend:

Heuristic 1: Best solution from Solomon's Heuristic [28].

Heuristic 2: Best solution from Thompson's Heuristic [30].

Table 11.5: Comparison of the average% differences between GIDEON and Heuristic 1 and Heuristic 2.

Problem Group	GIDEON			Tabu Heuristic		
	Number of Vehicles	Total Distance	CPU ¹	Number of Vehicles	Total Distance	CPU ²
R1	12.8	1299	99.96	12.8	1305	820
C1	10.0	892	89.92	10.0	871	569
RC1	12.5	1473	110.04	12.8	1459	825
R2	3.2	1125	183.28	3.2	1166	1113
C2	3.0	749	149.29	3.0	611	630
RC2	3.3	1433	159.44	3.5	1405	997

Legend:

GIDEON: Best average solution from GIDEON.

Tabu Heuristic: Best average solution from the Tabu heuristic [23].

CPU¹: CPU time in seconds to obtain a solution on a SOLBOURNE 5/802.

CPU²: CPU time in seconds to obtain a solution on a SUN SPARC/10.

Table 11.6

The quality of the solutions obtained by GIDEON for the VRPTW measured in fleet size and total distance traveled vary considerably with geographical clustering and time window tightness of the customers. For example, for a problem from the C1 data set, the Genetic Sectoring method quickly clusters the data in the natural fashion and finds a feasible solution in a short period of time. The Genetic Sectoring for clusters is much more extensive for an unclustered problem in data set R1. For an unclustered problem, the assignment of customers to vehicles does not follow radial clustering, but rather strongly utilizes the local search process to form pseudo clusters for the Genetic Sectoring process. As expected, for problems from data sets RC1 and RC2, in which the customers are not all naturally clustered, GIDEON produced good solutions. For problems in data sets R2, C2 and RC2 the Genetic Sectoring process is reliant upon the local optimization process to obtain good solutions due to the small number of clusters involved.

GIDEON consistently produces higher performance solutions relative to competing heuristics on problems that have large numbers of vehicles, tight windows and customers that are not clustered. Further computational analysis was performed to analyze the significance of the solutions obtained by GIDEON against Heuristic 1 and Heuristic 2.

The average solution obtained by GIDEON for the number of vehicles and distance were compared against the best of the two solutions that were obtained by Potvin's [23] Tabu Search Heuristic (see Table 11.6). GIDEON has a lower number of average vehicles for data sets RC1 and RC2 compared to the Tabu Search Heuristic, and the same number of average vehicles for the data sets R1, C1, R2 and C2. In terms of average distance traveled, GIDEON has lower values for data sets R1 and R2. The Tabu Search Heuristic has lower distances for the data sets R1, C1, RC1, C2 and RC2. GIDEON is better in terms of minimizing the number of vehicles for all of the data sets.

11.5 Computational Analysis

Three kinds of computational analyses were performed on the solutions obtained from GIDEON. Computational analyses were done on comparing the solutions obtained by GIDEON for data that was sorted against the unsorted data, performance of the three heuristic for the data sets and the solutions obtained by the three heuristics using a common unit of measurement. The analyses were done using two non-parametric tests, Friedman's Test and Paired Group Test [13]. The Paired Group Test (PGT) was used to test the solutions obtained by GIDEON on sorted and unsorted data (see Table 11.7). The Friedman non-parametric test (FNT) was used for determining the overall performance of the solutions obtained by GIDEON against Heuristic-1 and Heuristic-2. Table 11.8 summarizes the results of the Friedman Test.

Problem Group	Level of significance for solutions obtained by GIDEON for sorted data over unsorted data	Level of significance for solutions obtained by GIDEON for unsorted data over sorted data
R1	1%	-
C1	No significance	No significance
RC1	2%	-
R2	No significance	No significance
C2	-	10%
RC2	No significance	No significance

Table 11.7: Results of the non-parametric Paired Group Test comparing the solutions obtained by GIDEON on sorted and unsorted customers in the data sets.

The solutions obtained by GIDEON were individually compared against the solutions obtained by Heuristic-1 and Heuristic-2. The Paired Group Test was used to individually analyze the results obtained by GIDEON against those of Heuristic-1 and Heuristic-2. In order to perform the test, the solutions obtained by all three heuristics were converted to a common unit. The data was first expressed on a common scale and an index based on the mean average savings was developed to rank the three heuristics. As the minimization of the vehicles is of

higher priority than the distance, the conversion to a common unit was done using the following scale:

- 1 unit of distance saved = 1 unit of cost saved
- 1 unit of vehicle saved = 100 units of cost saved

Table 11.8 is the individual comparison of solutions obtained by GIDEON against those of Heuristic-1 and Heuristic-2. Table 11.9 indicates the difference in the mean savings index between the solutions obtained by GIDEON, Heuristic-1 and Heuristic-2. GIDEON attains significantly better solutions for the VRPTW than Heuristic-1 and Heuristic-2 for the problems in which the customers are distributed uniformly and/or have a large number of vehicles.

Problem Group	Significance of the performance
R1	Significant at the 1% level
C1	No significance
RC1	Significant at the 1% level
R2	Significant at the 1% level
C2	Significant at the 1% level
RC2	Significant at the 1% level

Table 11.8: Results of the Friedman's test comparing the overall performance of the solutions obtained by GIDEON against the best solutions obtained by Heuristic-1 and Heuristic-2.

Problem Group	Level of significance of				
	Heuristic-2 over Heuristic-1	Heuristic-1 over GIDEON	GIDEON over Heuristic-1	Heuristic-2 over GIDEON	GIDEON over Heuristic-2
R1	2%	-	0.03%	-	5%
C1	No significance	No significance	No significance	No significance	No significance
RC1	1%	-	1%	No significance	No significance
R2	10%	-	0.04%	-	1%
C2	2%	10%	-	1%	-
RC2	10%	-	0.25%	-	1%

Table 11.9: Results of the non-parametric Paired Group Test comparing individually the solutions obtained by Heuristic-1, Heuristic-2 and GIDEON.

For problems in data set C1, when the number of vehicles is increased it led to a reduction in the total distance traveled. For data sets in which the customers are clustered, the Genetic Sectoring is unable to form efficient sectors as the clustering of data leads to premature convergence of the algorithm. In the GIDEON system the Genetic Sectoring does the meta-level search in obtaining the customer sectors and the local post-optimization methods move customers between the sectors to improve the quality of the solution. The meta-level search

followed by local search allows GIDEON to obtain solutions that are significantly better than Heuristic-1 and Heuristic-2.

Problem Group	GIDEON over Heuristic-1	GIDEON over Heuristic-2
R1	220 units	65 units
C1	60 units	25 units
RC1	228 units	102 units
R2	287 units	142 units
C2	-44 units	-105 units
RC2	321 units	199 units

Table 11.10: The difference in the mean savings index between the solutions obtained by GIDEON against those of Heuristic-1 and Heuristic-2.

Table 11.11 lists the mean savings index of the solution obtained from GIDEON and the Tabu search that was used for conducting the Wilcoxon Rank Signed Test done to analyze the significance of the solutions. The Wilcoxon Rank Signed Test is a non-parametric statistical test used for the statistical analysis of observations that are paired. The Wilcoxon test uses signed ranks of differences to assess the difference in two locations of the two populations. A one-sided test with the alternate hypothesis $E[\text{GIDEON}] < E[\text{Tabu}]$ was tested. The weighted sum of the two heuristics was 3. The " $W_{\alpha,n}$ " is the critical region for the test with $\alpha = 0.05$ and $n = 5$, and for the two heuristics the $W_{\alpha,n}$ was 3. The null hypothesis is $E[\text{GIDEON}] = E[\text{Tabu}]$. The critical region for the Wilcoxon Rank test indicates that in only one out of twenty trials would "W" exceed 2. As W is equal to 3, the null hypothesis is true and no distinction can be made between the performance of the GIDEON system and the Tabu heuristic. That is the solutions obtained by the GIDEON system are as good as those obtained by the Tabu heuristic.

Problem Group	GIDEON	Tabu Heuristic
R1	2579	2586
C1	1892	1871
RC1	2723	2739
R2	1445	1484
C2	1049	911
RC2	1763	1755

Table 11.11: The mean savings index between the solutions obtained by GIDEON and the Tabu heuristic.

11.6 Summary and Conclusions

GIDEON performs uniformly better than both the heuristics used by Solomon and Thompson with the exception of the problem group C2. GIDEON does not tend to perform well for problems in which the customers are geographically clustered together and have a small number of vehicles. In comparison to the

Potvin's Tabu heuristic for solving the VRPTW, GIDEON obtains solutions that are as good as those of the Tabu search. For data sets in which the customers are clustered GIDEON does not obtain good solutions. This is to be expected as the genetic algorithm requires large differences in the fitness values of the chromosomes to exploit the search space.

This research shows that genetic search can obtain good solutions to vehicle routing problems with time windows compared to traditional heuristics for problems that have tight time windows and a large number of vehicles with a high degree of efficiency. The adaptive nature of the genetic algorithms are exploited by GIDEON to attain solutions that are of high performance relative to those of competing heuristics. This methodology is potentially useful for solving VRPTW's in real time for routing and scheduling in dynamic environments.

Acknowledgment

We thank Marius Solomon and Paul Thompson for providing the test problems used in this chapter.

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